

May 7, 2014

OFFICE OF THE DIRECTOR

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
April 2014:

CONSTITUENT SERVICE PROGRAMS

April 1, 2014 Report of Receipts and Expenditures

Total number of Required Filers.....	12
Total number of Timely Filers.....	11
Total number of Extensions Requested.....	1
Total number of Extensions Granted.....	1
Total number of Late Filers.....	0
Total number of Failures to File.....	0
Total number of OGC Referrals.....	0
<i>Total number of Electronic Filings.....</i>	<i>12</i>
<i>Total number of Certified E-Filings.....</i>	<i>12</i>

SENATOR/REPRESENTATIVE STATEHOOD FUNDS

April 1, 2014 Report of Receipts and Expenditures

Total number of Required Filers.....	2
Total number of Timely Filers.....	1
Total number of Extensions Requested.....	1
Total number of Extensions Granted.....	1
Total number of Late Filers.....	0
Total number of Failures to File.....	0
Total number of OGC Referrals.....	0
<i>Total number of Electronic Filings.....</i>	<i>2</i>
<i>Total number of Certified E-Filings.....</i>	<i>1</i>

Referrals to OGC

Failure to Timely File the July 31st 2013 & January 31st Report of Receipts and Expenditures (April 8, 2014)

PCCs

Gray for Mayor
Betty Brown, Treasurer

Failure to timely File 8 Day for Report of Receipts & Expenditures (April 1, 2014)

Christian Carter for Mayor 2014
Treasurer, Christian Carter

Victoria Gordon for Mayor
Treasurer, Victoria Gordon

Carlos Allen for Mayor
Treasurer, Carlos Allen

Nestor for Mayor 2014
Treasurer, Terrance Jordan

Martin Sterbal for US Senate
Treasurer, Martin Sterbal

Sewell for Mayor 2014
Treasurer, Otis Sution

New Dawn
Treasurer, Anthony Muhammad

Friends of Calvin Gurley 2014
Treasurer, Charmaine Davis

Carson-Smith for DNC
Treasurer, Winifred Carson-Smith

New Candidates and Committees registered for the 2014 Election Cycle April 2014

Darrell Gaston/Gaston 2014 (School Board, Ward -8).....4/2/2014*
Khalid Pitts/Cmte. To Elect Khalid Pitts 2014 (At-Large, City Council).....4/2/2014
Derrell Simpson/Simpson for School Board (School Board, Ward – 8).....4/7/2014
Tierra Jolly/Tierra Jolly for School Board, Ward – 84/18/2014*
Scott Simpson/Scott Simpson for Ward One Schools.....4/21/2014*

*Entrance conference to be scheduled in May 2014

Entrance conference participants on April 24, 2014

- Ron Bonfilio (Elect Cheeks for Chairman)
- Lena A. Brown (Wendell for DC Council)
- John Cheeks (Elect Cheeks for Chairman)
- Samantha Hicks (Brian Hart for DC)
- Diane Lewis (Cmte. To Elect Khalid Pitts 2014)
- Rodney Streater (Simpson for School Board)
- Che Washington (Wendell for DC Council)
- Ben Young (Catania for Mayor)

REPORTS ANALYSIS AND AUDIT DIVISON

The following is an accounting of RAAD’s production stats:

Reviews Completed – 89

Political Action Committees (includes amended Reports).....	23
Constituent Service Funds (includes amended Reports).....	16
US Senator/Representative.....	2
Principal Campaign Committees (includes amended Reports).....	48
Exploratory Committees.....	0
Independent Expenditures.....	0
Twenty-four Hour Reports.....	0
Initiative/Referendum Committees.....	0
Attorney General.....	0
Audit Letters (RFAI) Sent.....	9
Follow-up letters sent.....	0
Case(s) Resolved.....	39
Cases Referred to the Office of General Counsel.....	3
Cases Referred for Contributions with Same Address and Similar Signatures.....	1
Cases Terminated.....	0
Hearings Attended.....	0
Outreach Education Seminars Attended – Institute of Internal Auditors.....	0
Precinct Visits.....	10
Webinars Attended – Institute of Internal Auditors.....	1
Outside Seminars Attended.....	0
In House Training (Training of Audit staff – DC Official Code and 3DCMR).....	1

Ongoing Audits:

Full Field Audits

- Gray for Mayor

Periodic Random Audits

- Caribbean-American PAC
- DC Freedom PAC

Periodic Random Audits - Candidates Registered for the Upcoming Election (2014)

- Reta Jo Lewis for Mayor
- Bowser for Mayor
- Wells for Mayor
- Evans for Mayor

Periodic Random Audits - Constituent Service Programs – April 1, 2014 Filings

- Vincent Orange CSF
- Ward 8 CSF
- Councilmember Bowser CSF

Audit Reports Issued:

None

OFFICE OF THE GENERAL COUNSEL

2014 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	January	February	March	April	May	June	
Opinion Request Received				0			
Opinion Request Completed				0			
Complaints Received				0			
Internal Queries				0			
Preliminary Investigations Initiated				0			

Preliminary Investigations Orders Issued				0			
Full Investigations Initiated				0			
Full Investigations Orders Issued				0			
Informal Hearings Referred				14			
Informal Hearings Completed				8			
Informal Hearings Orders Issued				23*			
Petitions for Enforcement Referrals to BOE				0			

*This figure represents 13 Failure to Timely File orders in which no fines were imposed, 4 Failure to timely File orders in which \$3,500.00 in fines were imposed, 5 RFAI orders in which no fines were imposed and 1 RFAI order in which a fine Of \$1,650.00 was imposed.

Board Statistics for April, 2014

Board Meeting May 7, 2014

1. Actual Matters referred

PIRM

PCC	10
PAC's	0
ANC's	0
Constituent Services	0
Statehood Fund	0

RAAD

PCC	3
PAC's	1
Constituent Services	0
Statehood Fund	0

- 2. Notices of Hearings
 - First Notices
 - Second Notices

3. Notices and Orders Vacated	0
4. Hearings Conducted	8
5. Orders which imposed fines	5

6. Fines imposed **\$5,150.00**

7. Fines collected **0**

8. Investigations completed
Orders issued 0

9. Petitions for Enforcement 0

10. Outstanding Fines \$49,750.00

- (1) \$45,00.00 Kwame for Chair
- (2) \$1,700.00 Frank Sewell for Mayor
- (3) \$1,800.00 Friends of Tarek Stevens
- (4) \$1,100.00 Nestor for Mayor
- (5) \$150.00 Tim Clark (ANC)

LIST OF OPEN OCF INVESTIGATIONS

May 7, 2014

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: Due to current criminal investigations

the Sulaimon Brown for Mayor investigation remains ongoing

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: Due to current criminal investigations

the Gray for Mayor 2010 investigation remains ongoing

OCF FI 2013-013

Complainant: Internally Generated June 24, 2013

Respondent: Lee Calhoun

Infraction: Campaign contribution violations

Status: Due to current criminal investigations

the Lee Calhoun investigation remains ongoing

OCF FI 2013-014

Complainant: Internally Generated June 24, 2013

Respondent: Stanley Straughter

Infraction: Campaign contribution violations

Status: Due to current criminal investigations

the Stanley Straughter investigation remains ongoing

OCF FI 2013-015

Complainant: Internally Generated March 28, 2014

Respondent: Jeffrey Thompson

Infraction: Campaign contribution violations

Status: Due to current criminal investigations

the Jeffrey Thompson investigation remains ongoing

INTERPRETATIVE OPINIONS

May 7, 2014

NONE

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

May 7, 2014

NONE

